



22883

#71AMC+8  
T.M.C. of 8-04  
3/18/04

103.1019.10

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
David HITZ et al.  
Serial No.: 09/927,409  
Filed: Aug. 10, 2001  
For: File Access Control in a  
Multi-Protocol File Server

Art Unit: 2131 **RECEIVED**  
Examiner: Chi Chung LEE **MAR 09 2004**  
Tel: (703) 306-4153 **Technology Center 2100**  
Office Action Mailed:  
Dec. 3, 2003

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on	3/21/2004	Date
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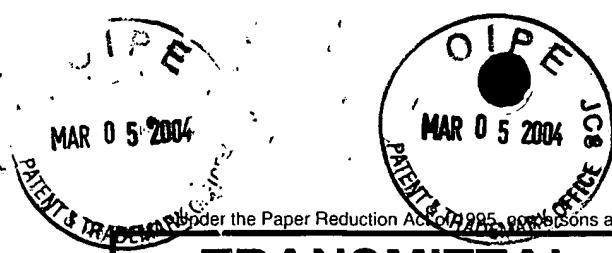
RESPONSE TO OFFICE ACTION

Dear Examiner:

This paper is responsive to the outstanding Office Action dated as shown above.

Applicants conditionally request an extension of time in the event that one is required for this paper. In accordance with 37 CFR § 1.136(a)(3), authorization is hereby granted to charge any required extension of time fees under 37 CFR § 1.17 to Deposit Account No. 50-0365.

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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2131/1

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/927,409
Filing Date	Aug 10, 2001
First Named Inventor	Hitz
Group Art Unit	2131
Examiner Name	Lee, C. Technology Center 2100
Total Number of Pages in This Submission	20
Attorney Docket Number	103.1019.10

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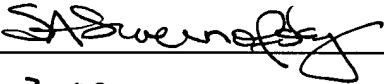
MAR 09 2004

Technology Center 2100

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (2 copies)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached (PTO Form 2038 – 2 copies)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

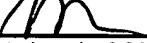
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven A. Swernofsky	Reg. no. 33,040
Signature		
Date	3-02-2004	

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$110.00)

Complete if Known

Application Number 09/927,409

Filing Date 8/10/2001

First Named Inventor Hitz, et al.

Examiner Name Lee, C.

Art Unit 2131

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MAR 09 2004

Attorney Docket No. 103.1019.10 Technology Center 2100

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)				
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Deposit Account Number 50-0365 Deposit Account Name Swernofsky Law Group PC					<b>3. ADDITIONAL FEES</b> Large Entity Small Entity Fee Description Fee Paid				
The Director is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid				
FEE CALCULATION									
1. BASIC FILING FEE									
Large Entity		Small Entity		Fee Description		Fee		Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)						
1001	770	2001	385	Utility filing fee					
1002	340	2002	170	Design filing fee					
1003	530	2003	265	Plant filing fee					
1004	770	2004	385	Reissue filing fee					
1005	160	2005	80	Provisional filing fee					
<b>SUBTOTAL (1) (\$0.00)</b>									
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE									
Total Claims		Independent Claims		Extra Claims	Fee from below	Fee Paid			
-20**=				X					
-3**=				X					
Multiple Dependent									
Large Entity		Small Entity							
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description					
1202	18	2202	9	Claims in excess of 20					
1201	86	2201	43	Independent claims in excess of 3					
1203	290	2203	145	Multiple dependent claim, if not paid					
1204	86	2204	43	**Reissue independent claims over original patent					
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent					
<b>SUBTOTAL (2) (\$0.00)</b>									
** or number previously paid, if greater; For Reissues, see above									
Other fee (specify) 1814 Terminal Disclaimer									
*Reduced by Basic Filing Fee Paid									
<b>SUBTOTAL (3) (\$110.00)</b>									

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Steven A. Swernofsky		Registration No. (Attorney/Agent)	33,040	Telephone	650-947-0700
Signature					Date	3-02-2004

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